

A review of the effectiveness of agriculture interventions in improving nutrition outcomes

Peter R Berti*, Julia Krasevec and Sian FitzGerald

PATH Canada (Programme for Appropriate Technology in Health), 1 Nicholas Street, Suite 1105, Ottawa, Ontario, Canada, K1N 7B7

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Abstract

Objectives: To review the impact of agriculture interventions on nutritional status in participating households, and to analyse the characteristics of interventions that improved nutrition outcomes.

Design: We identified and reviewed reports describing 30 agriculture interventions that measured impact on nutritional status. The interventions reviewed included home gardening, livestock, mixed garden and livestock, cash cropping, and irrigation. We examined the reports for the scientific quality of the research design and treatment of the data. We also assessed whether the projects invested in five types of 'capital' (physical, natural, financial, human and social) as defined in the Sustainable Livelihoods Framework, a conceptual map of major factors that affect people's livelihoods.

Results: Most agriculture interventions increased food production, but did not necessarily improve nutrition or health within participating households. Nutrition was improved in 11 of 13 home gardening interventions, and in 11 of 17 other types of intervention. Of the 19 interventions that had a positive effect on nutrition, 14 of them invested in four or five types of capital in addition to the agriculture intervention. Of the nine interventions that had a negative or no effect on nutrition, only one invested in four or five types of capital.

Conclusions: Those agriculture interventions that invested broadly in different types of capital were more likely to improve nutrition outcomes. Those projects which invested in human capital (especially nutrition education and consideration of gender issues), and other types of capital, had a greater likelihood of effecting positive nutritional change, but such investment is neither sufficient nor always necessary to effect change.

Keywords

Nutrition
Anthropometry
Agriculture
Home gardening
Sustainable Livelihoods
Framework
Human capital
Nutrition education
Sustainable development
Rural development
Food production

This report critically reviews the literature concerning the effectiveness of agriculture interventions in improving nutritional status in participating households. The central question that is addressed in the review is: 'Do agricultural interventions improve nutritional status in the participating households?' The secondary question is: 'What are the characteristics of those interventions that improve nutritional status, and what are the characteristics of those that do not?' We accept that well-conducted agriculture interventions increase productivity and food availability, and it would be intuitive to accept the hypothesis that agriculture interventions also improve nutrition; surely more food will lead to improved nutrition? Perhaps because the link appears obvious, there has not been much research to test the hypothesis. Nevertheless, the hypothesis has long been debated^{1,2} and it is understood that a complex relationship exists between production, income and nutrition³. The growing consensus is that the union between agriculture and nutrition requires cultural,

economic and social conditioning factors^{4,5}. In this review, this consensus is considered, and ultimately supported, through a review of primary literature and reports from the grey literature, considering both the effects observed during the life of the project and the likelihood of longer-term sustainable changes.

Methods

Literature search

We conducted a comprehensive review of the primary (peer-reviewed) literature and an extensive review of the grey literature. All studies included in the review had a nutrition monitoring component.

A primary literature search was done on Medline, Current Contents, Biosis Previews, PASCAL and AGRIS in November 2001, using the following keywords: (agricult* OR 'sustainable development' OR 'rural development' OR 'food production' OR farm OR garden) AND (nutrition* OR

anthropom* OR diet* OR 'child growth'), and was limited to human investigations and year of publication between 1985 and 2001. Twenty-two papers, including one review, were identified. Two additional peer-reviewed papers were identified and obtained using references from the review (pre-1985 references).

The grey literature search involved reference lists from other papers, the websites of the International Center for Research on Women (www.icrw.org), the International Food Policy Research Institute (www.ifpri.org) and the United States Agency for International Development (www.usaid.gov), discussions with colleagues and searches of their personal libraries, and searches using the University of Ottawa catalogue ORBIS. The grey literature yielded 10 relevant reports.

Although the topic is often talked about, debated and highlighted in policy documents, we did not find any similar previous papers that systematically reviewed the nutrition outcomes of agriculture interventions.

Review methods

The authors individually reviewed the papers and reports, and prepared summaries (available in an extended report⁶). The authors reviewed one another's summaries, sought clarification on discrepancies, and reviewed the original papers if doubts remained. The papers were summarised according to type of intervention, study/project design and description, agriculture indicators, agriculture outcomes, nutrition indicators, nutrition outcomes, and authors' conclusions. The reports were also summarised according to the inclusion of five types of 'capital' (natural, physical, human, social, financial) described in the Sustainable Livelihoods Framework^{3,7}. The papers were given a subjective ranking of 'high', 'mid' or 'low', reflecting the level of confidence we had in the authors' conclusions regarding the agriculture–nutrition relationship, and therefore the relative weighting that the paper had on our conclusions. A high ranking was given to papers with baseline surveys, control groups, appropriate agriculture and nutrition indicators, appropriate sample size, and appropriate collection of agriculture and nutrition data.

In total, we reviewed 24 peer-reviewed primary research papers, two projects from one peer-reviewed

review paper, one report from conference proceedings, and 10 project reports/monographs. Because of overlap between some papers, the number of projects reviewed was less than the total number of papers/reports, yielding a total of 30 actual projects: 13 vegetable/home gardening, two livestock, two mixed livestock/gardening, eight cash cropping, two irrigation, and three other (land redistribution, promotion of production with credit and extension services, duck–fish production system).

Some projects fit into more than one category (for example, vegetable production for commercial purposes, irrigation to increase production of cash crops, etc.) and were assigned to the category that figured most prominently in the report. The projects reviewed were based in Africa (12, mostly north-east), Asia (14, south and south-east) and the Americas (four).

The Sustainable Livelihoods Framework

The Sustainable Livelihoods Framework is a conceptual map of major factors that affect people's livelihoods, and the relationships that exist among them. It is presented here as a meaningful perspective for understanding the relationship between agriculture interventions and nutrition outcomes. The Sustainable Livelihoods Framework emphasises five different types of capital or assets that can be supported and strengthened in any development intervention: physical, financial, social, human and natural^{3,7}. A graphical representation of the framework can be viewed at http://www.livelihoods.org/info/guidance_sheets_pdfs/section2.pdf.

We credited the intervention with having supported or strengthened the various capitals according to the guidelines in Table 1.

Results

The findings of the reviewed reports are summarised in Table 2. Of the 30 projects reviewed, 20 measured agriculture outcomes^{4,8–17,21,25,27–34,38,39} and 17 of these showed some improvement in at least one agriculture indicator^{4,8–12,14–17,25,28,30,32,33,39}.

All of the studies included in the review had a nutrition monitoring component. Among them, the intervention group showed improvement and/or better status than the

Table 1 'Flags' by which investments in the various capitals were identified*

Natural capital	Physical capital	Social capital	Human capital	Financial capital
Use of sustainable agriculture practices Intensification of existing systems Diversification by adding new systems	Support the increase in land, tools, livestock, etc.	Using social and participatory processes	Agriculture training programmes Nutrition education programmes Other training programmes Gender considerations	Access to credit, grants, subsidies Value-added products Value-added marketing Other financial benefits

* Other types of flags are possible. All of those that occurred in the reviewed papers fit into one of these listed flags.

Table 2 Summary of results of projects reviewed

Country and reference	Type of study	Improving nutrition an objective?	Improvement in:					Capital inputs*	Weighting†
			Agriculture indicators?	Dietary intake indicators?	Anthropometric indicators?	Biochemical/clinical indicators?	Morbidity indicators/mortality		
Vegetable/home garden									
North Bangladesh ^{4,8}	Pre-post with control group	Yes	Yes. Intervention hhs with gardens increased from 50 to 100%; average size of garden increased 130%; number of varieties increased 5 x	Yes. Veg intake increased in hhs and specifically in infants and children	Yes. Improvement in stunting and underweight	Yes. Children: anaemia 30% less than control; XN decreased by 50%, no change in control. Women: XN less in intervention than control	N P S H F	High	
Nepal ⁹	Pre-post, no control	Yes	Yes. Number of gardens	No (indicators worsened drastically)			NA	Low	
Vietnam ^{10,11}	Intervention vs. control, some pre-post	Yes	Yes. Production and sale of veg, fruit, fish and meat	Yes. Intervention children ~50% higher intake of veg, fruit, energy, protein, VA and iron	Yes. Stunting decreased from 50 to 42% in intervention children	Yes. Intervention children: incidence of respiratory infections decreased from 50 to 11% (no change in controls); diarrhoea decreased from 18 to 5%	N P S H F	High	
Bangladesh ¹²	Pre-post with control (but 'pre' is after 2nd year of intervention)	Yes	Yes. Small increase in hhs growing VA-rich crops (intervention and control)	Yes. 10–20% increased intake of VA-rich veg (also in control) and other veg	No. No change in XN		N P S H	Low	
Kenya ¹³	Intervention (training in marketing and nutrition) vs. control (promotion, no training), pre-post	Yes	Yes. Yield of sweet potato was ~0 pre and 5–19 t ha ⁻¹ post	Yes. Where VA intake initially low, it improved to almost adequate (no increase in controls)			N P S H F	High	
Tanzania, rural ¹⁴	Intervention vs. control 5 years post-intervention	Yes	Yes. More gardens with guava and papaw	Yes. Intake of VA-rich foods ~50% greater than control	No	No. Helminth infection: intervention 79% vs. control 49%	N P S H	Mid	
Vietnam ¹⁵	Pre-post	Yes	Yes. Per capita home veg production increased 5 x	Yes. Increase in intake of energy, protein, fat and veg of 17, 23, 75 and 250%	Yes. Xerophthalmia decreased to almost zero		N S H	Low	
Guatemala ¹⁶	Pre-post, with control	Yes	No	Yes. Control children (without garden with leaf veg) 3.5 x more VA deficiency			P H	Mid	
Philippines ¹⁷	Pre-post, with control	Yes	Yes. Production of 5 types of veg increased 37–700%	Yes. Increased veg consumption; VA intake increased by 12%, control decreased by 48%			N P S H F	High	
NE Thailand ^{18,19}	Cross-sectional pre-post, with control	Yes	NA	Yes. Increased VA and iron intakes in children, schoolgirls and P/L women; in some cases also in controls	Yes. Schoolgirls' serum retinol increased from moderately deficient to non-deficient (no increase in control)		N S H F	High	

Table 2 Continued

Country and reference	Type of study	Improving nutrition an objective?	Improvement in:							Capital inputs*	Weighting†
			Agriculture indicators?	Dietary intake indicators?	Anthropometric indicators?	Biochemical/clinical indicators?	Morbidity indicators/mortality				
NE Thailand ^{18,20}	Pre-post, with control	Yes	NA	Yes. VA intake increased in intervention: preschoolers (3x), schoolchildren (2x), lactating women (2x). No change in control women	Yes. Improved weight-for-height and reduced severe wasting	No. No change in serum VA levels or prevalence of xerophthalmia			N S H	High	
Philippines ^{21–23}	Paired pre-post	Yes	NA	Yes. Increased children's VA intake					P H	Mid	
NE Senegal ²⁴	Survey of those with and without gardens at baseline and 10–12 years later	Yes	NA	Yes/no. Some nutrients increased, some decreased					N P H F	Low	
Livestock India ²⁵	Longitudinal, with control	No	Yes. Doubled milk production	Energy intake greater than controls, but milk intake equal					N P F	Mid	
India ²⁶	Compared diet by milk production level	Yes	NA	Yes. Energy intake of children 10–20% higher in large producers					F?	Low	
Ethiopia, highlands ²⁷	Intervention vs. control, post only	No	Ambiguous	hh consumption of energy, fat, protein, retinol and iron greater than controls by 13–43%					N P H F?	Low	
Mixed livestock/gardening Ethiopia ²⁸	Pre-post, with control	No	Yes. 38% vs. 15% with gardens	Yes. Higher, but still inadequate, VA intake	No difference	Yes. Participants had 1% Bitot's spots vs. 4% in controls			N P S H F	Low	
Egypt ²⁹	Pre-post, with control	Yes	Increased yields of maize, peanut, wheat (41–74%)	Yes. hh protein and iron increased (10%, 20%)	No difference	No difference in mortality			P S H F	Low	
Cash cropping Philippines ³⁰	Compared sugar producers with non-producers	No	Yes. 50% of hhs in sugar were landless labourers. For those with land, profits for sugar were 2 x corn	Yes. Most extra calories to adults; children remained malnourished	Yes. Doubling income led to 5% improvement in weight-for-height	Sugar producers' children sick 25% more often			F	High	
Kenya ³¹	Intervention vs. control, post only	No	NA	Children of cash croppers generally better status than controls, but sugar cultivation associated with increased stunting					–	Mid	

Table 2 Continued

Country and reference	Type of study	Improving nutrition an objective?	Improvement in:					Capital inputs*	Weighting†
			Agriculture indicators?	Dietary intake indicators?	Anthropometric indicators?	Biochemical/clinical indicators?	Morbidity indicators/mortality		
Mexico ³¹	Pre and 13 years post, random samples in main town and a hamlet	No	Yes. Various cash crops increased, poultry decreased. No change in cattle and pigs	Increase in protein and various nutrients at hh level and for children	Decrease in mild undernutrition, but no change in moderate or severe		N P H F	Low	
SW Kenya ^{32,33}	Longitudinal, with sugar, non-sugar and new sugar farmers	No	Yes. Sugar production increased	Energy intake greater in sugar farmers	No difference	No difference in children's total time ill	P F	Mid	
W Kenya ³⁴	20 years after starting irrigation, comparing different levels of involvement in irrigation scheme	No	No. Those most invested in scheme (resident tenants) had largest acreage for cash crop, least for food crops, and least livestock	Resident tenants had lowest per capita energy intake, and their children had lowest intakes of energy, protein and iron	Resident tenants' children showed poorer growth than other groups		P F	Mid	
Malawi, central ³⁵	Comparison with control 17 years after intervention	No	NA	No difference	No difference	Yes. ~25% lower under-5 mortality rate	F	Low	
Mexico ³⁶	Comparison of communities	No	NA	Anthropometrics positively related to income and negatively to % land in maize			NA	Mid	
Irrigation Haiti ²¹	Intervention vs. control at endline	No	Some crops decreased, some increased. Livestock decreased with less forage available	Intakes generally lower in control (adults and children). More milk consumption and lower weaning age in control children	No difference	Intestinal parasitic infection higher in intervention adults (74% vs. 28%), but no differences in children	P F (-S)	Mid	
NE Thailand ³⁷	Following dam construction, comparison of farming villages with irrigation, with fishing, resettlement villages, and non-irrigated villages	No	NA	No difference	No difference in adult anaemia, but more anaemic children in non-irrigated, traditional villages. No difference in vitamin B ₁ , B ₂	Parasite infections a little lower in lakeside	N F	Mid	
Other Coastal Kenya ³⁸	Observation 15–25 years after resettlement of landless and unemployed onto ~ 5 ha	No	Resettled hhs have greater self-sufficiency than other rural households	Settlement hhs have greater energy intakes, but large settlements have lower intakes than small settlements	Slightly less severe underweight in settlement hhs	Little difference	–	Low	

Table 2 Continued

Country and reference	Type of study	Improving nutrition an objective?	Improvement in:					Capital inputs*	Weighting†
			Agriculture indicators?	Dietary intake indicators?	Anthropometric indicators?	Biochemical/clinical indicators?	Morbidity indicators/mortality		
W Honduras ³⁹	Comparison of farmers in intervention for 1 year, new farmers, and control	No	Increased maize production in participants. No change in crop diversity, or value of harvested crops, or other income	Energy intake remained stable; small increase in diet diversity in intervention hrs			N S H F	Low	
India ⁴⁰	Participants in duck-fish production system compared with non-participants	No	NA	Increased fish consumption of participants leading to 50–300% increases in intakes of protein, calcium, VA, vitamin C			N P S H F	Low	

Abbreviations: hh – household; veg – vegetable(s); XN – night blindness; ARI – acute respiratory infection; UTRI – upper respiratory tract infection; VA – vitamin A; NA – not applicable; P/L – pregnant/lactating; Hb – haemoglobin.

*Types of capital project invested in: N – natural; P – physical; S – social; H – human (when **bold** indicates nutrition education included); F – financial.
† A subjective score, based on quality of reported work, sample size, methods used and plausibility of achieved results. Many of the reports were excellent studies for other purposes, but did not meet all the needs for this review, and were critiqued accordingly. We note the unfairness to the authors, in some cases, for our judging their papers on criteria the authors never intended to meet.

control group in terms of diet (21 of 25 cases), anthropometrics (seven of 16 cases), biochemical/clinical indicators (five of 10 cases) and morbidity (five of eight cases); see Table 3 for details.

Weighting of reports as high, mid and low

The relative importance, or weighting, that we gave the studies' conclusions is indicated in the last column of Table 2 by 'high', 'mid' or 'low'; 17 of the 30 projects were rated as 'high' or 'mid'. Among these 17 projects, nine showed improvement in at least one agriculture indicator. The intervention group showed improvement and/or better status than the control group in terms of diet (13 of 14 cases), anthropometrics (five of 10 cases), biochemical/clinical indicators (three of six cases) and morbidity (three of seven cases). Negative effects were not uncommon; see Table 4 for details.

Nutrition outcome according to type of intervention

Of the 17 projects which were ranked high or mid, nine had improving nutrition as an explicit objective of the project; these were the nine home gardening projects. In addition, all nine of the home gardening projects included nutrition education, and often some other public health intervention. It is therefore not possible to separate the effects of the type of intervention from the effect of the project objective or the effect of including nutrition education. These home gardening interventions had somewhat better nutrition outcomes than the other interventions. Among the home gardening interventions, there were 19 nutrition indicators combined across all projects (including diet, anthropometric, biochemical and morbidity indicators); 16 of these 19 indicators were better in the intervention group. Two indicators were worse in the intervention group, and for one indicator there was no change. In the non-home gardening interventions, only eight of the 18 indicators were better in the intervention group, five indicators were worse in the intervention group, and for five there was no difference.

Nutrition outcomes by number and type of capital investments

In general, the home gardening interventions invested in more types of capital than did the other interventions. Of the studies weighted as high and mid, seven of the nine home gardening projects invested in three or more of the types of capital, whereas the seven non-home gardening projects all invested in two or fewer types of capital. Within their human capital investments, seven of the nine home gardening projects incorporated gender considerations into the project, which may have partly been responsible for the positive effect on child dietary intake^{13,17}, other improvements in child growth and vitamin A status^{4,8}, and morbidity^{10,11}. Incorporating gender considerations, which are sensitive to mothers' workloads and the central role they play in child feeding

Table 3 Number of studies with a positive effect on diet, anthropometrics, biochemical/clinical indicators or morbidity: all studies

	Number of studies	Positive effect/total projects (negative effect)*			
		Diet	Anthropometrics	Biochemical/clinical indicators	Morbidity
By type of intervention					
Vegetable/home garden	13	10/12	3/5 (1)	3/6 (1)	2/2
Livestock	3	2/3			
Mixed livestock/gardening	2	2/2	0/1	1/1	0/1
Cash cropping	7	3/4 (1)	3/7 (2)		1/2
Irrigation	2	1/1	0/2	1/2	1/2 (1)
Other	3	3/3	1/1	0/1	
Total	30	21/25 (1)	7/16 (3)	5/10 (1)	4/7 (1)
By 'improving nutrition' as explicit objective					
Yes	15	11/14	3/5 (1)	3/6 (1)	2/4 (1)
No	15	10/11 (1)	4/9 (1)	2/3	2/6 (2)
By inclusion of nutrition education					
Yes	15	10/12	4/6 (1)	4/6 (1)	2/3 (1)
No	15	11/12	3/10 (2)	1/2	2/7 (2)
By number of capital inputs					
5	6	6/6	2/2	2/2	2/2
4	8	6/8	1/3	1/3 (1)	0/2 (1)
3	3	2/2		1/1	
2	5	3/4 (1)	1/4 (1)	1/1	1/1
1	4	2/3	1/3	0/1	1/3 (1)
0	2	1/1	1/2 (1)		0/1
≥3	17	14/16	3/5	4/6 (1)	2/4 (1)
≤2	11	6/8 (1)	3/9 (2)	1/2	2/5 (1)

* When the outcomes were mixed (some aspects of the indicator were positive, some neutral, some negative), the indicator was scored negative if there were any negative aspects.

and care, can help improve child nutrition. However, the specifics of gender considerations in the above projects were not always presented, and when presented were often limited to making women the intervention target. Some served to empower women and put them in leading roles for implementation, having them reach out to other women in the community^{13,18,19}. All seven of the interventions with gender considerations also had inputs into social capital (e.g. participatory processes). Four of these projects also described intentional¹³ or unintentional^{4,8,10,11,17} positive impacts on financial capital (i.e. income generation).

Some papers that did not have positive nutrition outcomes mentioned the need for nutrition and/or health education (human capital) to produce the desired nutrition effect^{31,35,37}. One investigation assessed differences between agriculture only and agriculture plus nutrition education, and showed a dietary benefit of including nutrition education¹³. This design is particularly appealing, as it allows the synergistic effect of nutrition education to be quantified in a project also considering gender issues and financial capital.

Discrepancies between diet and other health outcomes

Many of the projects reported outcomes with multiple types of nutrition and health indicators. There were at times discrepancies between the various indicators: improved diet did not always coincide with improvements

in the anthropometric, biochemical/clinical or morbidity indicators. There was no discernible pattern between the 'indicator discrepancy' and the project objectives or the type of agriculture intervention. However, discrepancies were perhaps dependent on the number of types of capital input, as outlined in Table 5, where the broader-based interventions more often had positive relationships between diet and the other indicators. It is possible that a narrowly focused intervention may hurt other aspects of livelihoods that are reflected in poor growth, anaemia or morbidity. For example, an intervention that increases the amount of time women work in the field without considering childcare may improve food availability and diet, but hurt child welfare. It is also possible that a broader consideration of capital inputs is required to have a positive effect on child welfare. These interpretations are consistent with the Sustainable Livelihoods Framework, but the data are scanty and our interpretations are tentative.

Long-term effects

Nine projects measured effects after the intervention itself was finished (from 4 to 30 years after the intervention ended). It has been assumed that positive effects on financial capital are necessary for the long-term success of agriculture interventions^{5,7}. We therefore considered the long-term impacts of these nine projects in relation to their effect (intentional or not) on financial capital; see Table 6 for a summary of these projects.

Table 4 Number of studies with a positive effect on diet, anthropometrics, biochemical/clinical indicators or morbidity: including only those studies weighted as high or mid

	Number of studies	Positive effect/total projects (negative effect)*			
		Diet	Anthropometrics	Biochemical/clinical indicators	Morbidity
By type of intervention					
Vegetable/home garden†	9	9/9	3/3	2/4 (1)	2/3(1)
Livestock	1	1/1			
Mixed livestock/gardening	0				
Cash cropping	5	2/3 (1)	2/5 (2)		0/2 (1)
Irrigation	2	1/1	0/2	1/2	1/2 (1)
Other	0				
Total	17	13/14 (1)	5/10 (2)	3/6 (1)	3/7 (3)
By 'improving nutrition' as explicit objective†					
Yes	9	9/9	3/3	2/4 (1)	2/3 (1)
No	8	4/5 (1)	2/7 (2)	1/2	1/4 (2)
By inclusion of nutrition education†					
Yes	9	9/9	3/3	2/4 (1)	2/3(1)
No	8	4/5 (1)	2/8 (2)	1/2	1/4 (2)
By number of capital inputs					
5	4	4/4	2/2	1/1	2/2
4	2	2/2		1/2 (1)	0/1 (1)
3	2	2/2			
2	5	3/4 (1)	1/4 (1)	1/2	1/2
1	2	2/2	1/2		
0	1		0/1 (1)		
≥ 3	8	8/8	2/2	2/3 (1)	2/3 (1)
≤ 2	8	5/6 (1)	2/7 (2)	1/2	1/2

* When the outcomes were mixed (some aspects of the indicator were positive, some neutral, some negative), the indicator was scored negative if there were any negative aspects.

† The nine home gardening projects were the nine that had 'improving nutrition' as an explicit objective, and all nine included nutrition education.

Just over half (five of nine) of the projects had at least some long-term benefits as a result of the intervention. Of the seven that strengthened financial capital, only three had a positive long-term effect. This is surprising because, as Pretty and Hine⁷ suggest, financial capital is a key element for long-term sustainability. However, a number of the interventions strengthened financial capital at the cost of natural and social capital, suggesting that a broader-based strengthening (or at least not a weakening) of the five types of capital would be required for long-term impact. Of the seven projects that strengthened financial capital, two also strengthened some aspect of human capital^{21,31} with only one of them³¹ having some long-term benefits; none of the seven strengthened social capital.

Table 5 Number of projects with positive, neutral or negative relationships between diet and other nutrition/health outcomes, by number of types of capital input*

Number of types of capital input		Relationship between diet and:		
		Anthropometrics	Biochemical/clinical indicators	Morbidity
≥ 3	Positive	3	5	2
	No effect	1		1
	Negative		1	1
≤ 2	Positive	3		
	No effect	2	2	2
	Negative			2

* Includes only those studies which had positive diet outcomes.

Two of the nine projects^{14,18} did not invest in or make an impact on financial capital. However, these two did make investments in human capital and social capital, and had long-term positive effects despite not changing financial capital (although their follow-up period was only 4–5 years). Gender considerations are also important; however, even when gender considerations are included (e.g. focusing on a 'woman's' crop), there is the potential for males to take control of crops that have or attain, through the course of the intervention, income-generating potential¹³.

Discussion

Agriculture interventions had mixed results in terms of improving nutritional status in participating households. Our analysis of the agriculture and nutrition relationship was often hampered by the projects using study designs that were not suitable to assess this relationship. There is also inherent difficulty in comparing the outcomes of interventions with different objectives and inputs. In addition, it was difficult to distinguish between the effects of the type of intervention, having a nutrition objective and the types of capital investment, because of the fact that all of the home gardening interventions had an explicit nutrition objective as well as investing broadly in various types of capital, especially nutrition education (human capital).

In order to isolate the effects of the capital investments, we therefore need to consider only the non-home

Table 6 Financial capital as an indicator of sustainability

Intervention and reference	Inputs				Long-term indicators			
	Effect on financial capital	Human capital		Social capital	Years post-intervention	Nutrient intake		Anthropometrics
		Nutrition education	Agriculture education	Gender		Participatory process	Nutrient intake	
Replace subsistence corn with commercial sugar ³⁰	+	No	No	No	7	NA	Positive in <1-year-olds	
Promotion of home gardening for sale ²¹	+	Yes	Yes	No	10	ND	NA	
Agricultural modernisation for cash crop production ³¹	+	No	No	No	13	Some	Mixed	
Resettlement of landless and unemployed to small farms ³⁸	+	No	No	No	15 to 30	Some	Less wasting	
Promotion of home gardening ¹⁴	-	Yes	Yes	Yes	5	Positive	NA	
Irrigation of rice monocropping ³⁴	+	No	?	No	20	Negative impact	Negative impact	
Promotion of home gardening, various other ^{18,19}	-	Yes	Yes	Yes	4, 5	Positive in 2- to 5-year-olds only	NA	
Promotion of modernisation, cash cropping ³⁵	+	No	?	No	17	NA	ND	
Changed environment from dam construction ³⁷	+	No	No	No	11	ND	ND	

NA – not applicable; ND – no difference.

gardening interventions. There were 16 non-home gardening interventions; seven had three or more types of capital investment, nine had two or fewer. Details of the nutrition outcomes for these interventions are provided in Table 7. Clearly the interventions with more broadly based capital investments had more positive nutrition and health outcomes, and no negative outcomes. Adding across all indicators, nine of 11 indicators were positive for the broadly based interventions, and for the more narrowly based interventions, only nine of 22 indicators were positive and five were negative. While the classification of activities into the broad categories of capital investment is certainly crude, it is useful in demonstrating that, overall, investing broadly in the target population – and not just in the agriculture intervention – does seem to improve prospects for positively impacting on the health of the people.

Among the projects reviewed, home gardening projects usually had a higher success rate than other types of intervention, with at least some positive nutrition outcomes in all nine of the projects weighted as mid and high. This may be due to home gardening being an inherently strong intervention, which most households can successfully adopt. Another explanation may be that all of these projects strengthened human capital through the use of nutrition education and/or gender considerations. From the information provided in the projects reviewed, it is difficult to determine which of these, or both, is responsible for the observed success because they are nearly mutually exhaustive (almost all home gardening projects included human capital through nutrition education and gender considerations; almost all projects investing in human capital were home gardening projects). We do know that nutrition education only interventions, without associated agricultural interventions, can result in nutrition improvement in participating households⁴¹.

The results presented here indicate that nutrition education is of central importance for achieving nutrition improvement. However, there are also examples of agriculture interventions improving nutrition outcomes without a nutrition education component. There may be an overestimate of the nutrition impact of agriculture interventions resulting from the Hawthorne effect: only those agriculture interventions that measured nutrition outcomes were considered, and it is possible that the act of observing nutrition resulted in improved nutrition outcomes, independent of any other inputs^{42,43}.

Our review suggests that, in agriculture interventions, investing broadly in five types of capital, especially human capital, increases the prospects for nutrition improvement. While those projects that do invest in human (especially nutrition education and consideration of gender issues) and other types of capital have a greater likelihood of effecting positive nutritional change, such investment is neither sufficient nor always necessary to

Table 7 Considering the non-home gardening interventions, the number of studies with a positive effect on diet, anthropometrics, biochemical/clinical indicators or morbidity, according to the number of types of capital input

Number of types of capital input	Number of studies	Positive effect/total projects (negative effect)*			
		Diet	Anthropometrics	Biochemical/clinical indicators	Morbidity
≥ 3	7	7/7	1/2	1/1	0/1
≤ 2	9	4/6 (1)	2/8 (2)	1/2	2/6 (2)

*When the outcomes were mixed (some aspects of the indicator were positive, some neutral, some negative), the indicator was scored negative if there were any negative aspects.

effect change. It is not clear what is necessary to sustain the nutrition benefits in the years after the intervention period is completed. It is often assumed that agriculture interventions result in sustainable nutrition benefits, especially if they strengthen financial capital; however, this review does not substantiate this assumption. Further research into the question is warranted. The multi-disciplinary nature of such research calls for collaboration between nutritionists, agriculture scientists and social scientists⁴⁴. The agriculture–nutrition link must be studied in a large variety of projects and settings, in order to build a body of knowledge that will complement what is presented in this review.

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The review is one component of a broader research programme, which also includes an analysis of the development outcomes in terms of the social, economic and environmental benefits of small-scale, rural agriculture interventions³.

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